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## Outline

## 1 Introduction

- Ph.D. subject
- B and Atelier B
- SMT-LIB

# Encoding B proof obligations in SMT-LIB using HOL

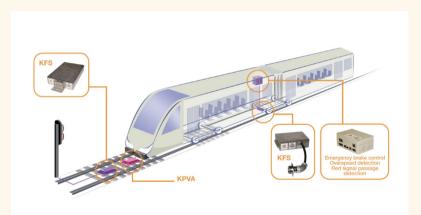
- Encoding sets
- Encoding functions
- Example
- **3** Conclusion

## Context

Formal methods for safety-critical systems, e.g. railways

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```
(declare-fun f (→ Int (Option Int)))
(assert (forall ((x Int))
  (= (not (= (f x) none)) (≤ 0 x))))
(assert (forall ((x Int)) (⇒
  (not (= (f x) none))
  (exists ((y Int))
      (and (≤ a y) (≤ y b) (= (f x) (
      some y)))))))
```

## B and Atelier B

#### B

- Formal method for software and hardware development
- Based on **ZFC** + Predicate Logic
- Structured around abstract machines, variables, invariants, and operations.

#### **Atelier B**

- Suite of tools for B development
- Includes a proof obligation generator and a (FO) predicate prover
- Tries to automatically discharge proof obligations

#### **SMT-LIB**

- Standard input format for SMT solvers (e.g. z3, cvc5, veriT)
- Based on many-sorted first-order logic
- Comes with many theories (e.g. arrays, integer and real linear arithmetic)

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  - Ph.D. subject
  - B and Atelier E
  - SMT-LIF
- Encoding B proof obligations in SMT-LIB using HOL
  - Encoding sets
  - Encoding functions
  - Example
- 3 Conclusion

- Based on FOL with equality and uninterpreted functions (EUF)
- Sets are only specified through the use of an uninterpreted predicate ∈
- Only expressions like  $x \in S$  are encoded

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S = {e1, e2, e3}
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```
(declare-fun S () (P Int))
(declare-fun e1 () Int)
(declare-fun e2 () Int)
(declare-fun e3 () Int)
(declare-fun ∈₀ ((Int) (P Int)) Bool)

(assert (forall ((x Int)) (=
    (∈₀ x S)
    (or (= x e1) (= x e2) (= x e3)))))
```

- Uses some extensions of SMT-LIB 2.6 to HOL brought by cvc5
   (λ-abstraction, arrow type constructor)
- Sets are represented by their characteristic predicate: no need for membership predicate!

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\rightarrow
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Currently, functions such as  $f: A \rightarrow B$  are represented by:

- the set  $\mathcal{P}(A \times B)$
- axiom stating that the relation is functional

$$\forall x y z. x \mapsto y \in f \land x \mapsto z \in f \Rightarrow y = z$$
 (functionality)

additional axioms accounting for properties of f (totality, partiality, injectivity...)

$$\forall \, x_1 \, y_1 \, x_2 \, y_2 . \, x_1 \mapsto y_1 \in f \, \land \, x_2 \mapsto y_2 \in f \, \land \, y_1 = y_2 \Rightarrow x_1 = x_2 \quad \text{(injectivity)}$$
 
$$\vdots$$

Can we find a better way to encode functions in order to preserve their properties and avoid additional overhead?

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Can we find a better way to encode functions in order to preserve their properties and avoid **additional overhead**?

$$\forall a: \iota \cdot \exists b: \iota, f: \mathsf{set} \, \tau \times \iota \cdot f \in \mathsf{S} \rightarrowtail a..b$$

$$\forall a: \iota \cdot \exists b: \iota, f: set \tau \times \iota \cdot f \in S \rightarrow a..b$$

$$\forall a: \iota \cdot \exists b: \iota, f: \mathsf{set} \, \tau \times \iota \cdot f \in \mathsf{S} \, \Rightarrow a..b \land \mathsf{S} \subseteq \mathsf{dom}(f) \land \_\mathsf{inj}(f)$$

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$$\forall a: \iota \cdot \exists b: \iota, f: set \tau \times \iota \cdot f \in S \leftrightarrow a..b \land \_func(f) \land S \subseteq dom(f) \land \_inj(f)$$

$$\forall a: \iota \cdot \exists b: \iota, f: \mathtt{set} \ \tau \times \iota \cdot f \in S \leftrightarrow a..b \land \_\mathtt{func}(f) \land S \subseteq \mathtt{dom}(f) \land \_\mathtt{inj}(f)$$

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 \forall a \colon \iota \cdot \exists \, b \colon \iota, f \colon \mathsf{set} \, \tau \times \iota \cdot \\ f \in S \leftrightarrow a .. b \qquad \land \\ \forall x \colon \tau, y \colon \tau, z \colon \iota \cdot x \mapsto y \in f \land x \mapsto z \in f \Rightarrow y = z \\ S \subseteq \mathsf{dom}(f) \qquad \land \\ \mathsf{\_inj}(f)
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$$\forall a: \iota \cdot \exists b: \iota, f: \mathsf{set} \, \tau \times \iota \cdot$$

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```
Let f \in \mathbb{Z} \to \mathbb{Z}. Let op be the following operation:
```

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op (x, y) = PRE
x : INTEGER & y : INTEGER // <math>x \in \mathbb{Z} \land y \in \mathbb{Z}
THEN
f := f \lor \{x \mid -> y\}
END
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Assume f := \{0 \mapsto 1, 1 \mapsto 0\}.
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   x : INTEGER & y : INTEGER // x \in \mathbb{Z} \land y \in \mathbb{Z}
THEN
   f := f \lor \{x \mid -> v\} // f := f \cup \{x \mapsto v\}
END
Assume f := \{0 \mapsto 1, 1 \mapsto 0\}.
   • After op(2,3), f = \{0 \mapsto 1, 1 \mapsto 0, 2 \mapsto 3\}
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THEN
\mathbf{f} := \mathbf{f} \ \lor \ \{\mathbf{x} \mid -> \mathbf{y}\} \qquad // \ f := f \cup \{x \mapsto y\}
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• After op(2,3),  $f = \{0 \mapsto 1, 1 \mapsto 0, 2 \mapsto 3\}$  (still a function)

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- After op(2,3),  $f = \{0 \mapsto 1, 1 \mapsto 0, 2 \mapsto 3\}$  (still a function)
- After op(0,2),  $f = \{0 \mapsto 1, 1 \mapsto 0, 0 \mapsto 2\}$

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Let f \in \mathbb{Z} \to \mathbb{Z}. Let op be the following operation:

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x : INTEGER & y : INTEGER // <math>x \in \mathbb{Z} \land y \in \mathbb{Z}

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f := f \lor \{x \mid -> y\} // f := f \cup \{x \mapsto y\}

END
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Assume  $f := \{0 \mapsto 1, 1 \mapsto 0\}$ .

- After op(2,3),  $f = \{0 \mapsto 1, 1 \mapsto 0, 2 \mapsto 3\}$  (still a function)
- After op(0,2),  $f = \{0 \mapsto 1, 1 \mapsto 0, 0 \mapsto 2\}$  (no longer a function)

Idea of the encoding for "true" functions:

- ·? is a (post-fixed) low-priority notation for the Option type.
- ↑ is a (pre-fixed) high-priority notation for the set-lifting operation defined inductively as follows:
  - $\uparrow (A \times B) = \uparrow A \times \uparrow B$
  - $\uparrow \mathcal{P}(A) = \mathcal{P}(\uparrow A)$
  - $\uparrow \{x \in A \mid P\} = \uparrow A$
  - ↑Bool = Bool
  - $\uparrow_{-} = \mathbb{Z}$  (e.g.  $\uparrow \mathbb{N} = \uparrow \{e_i\}_{i \in \mathcal{I}} = \mathbb{Z}$ )

#### Idea of the encoding for "true" functions:

### **Rule: partial function**

 $f: A \rightarrow B$  is encoded as:

- $f \in \uparrow A \to \uparrow B^?$   $\forall x \in \uparrow A. f x \neq \mathsf{none} \Rightarrow x \in A$ 
  - $\forall x \in \uparrow A. f x \neq \text{none} \Rightarrow \exists y \in B. f x = \text{some } y$
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#### Idea of the encoding for "true" functions:

#### Rule: total function

 $f: A \rightarrow B$  is encoded as: •  $f \in \uparrow A \rightarrow \uparrow B^?$ 

- $\forall x \in \uparrow A. f x \neq \text{none} \Leftrightarrow x \in A$
- $\forall x \in \uparrow A. f x \neq \text{none} \Rightarrow \exists y \in B. f x = \text{some } y$
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$$f \colon \mathbb{N} \to a..b \quad \hookrightarrow$$

$$\begin{cases} f \in \uparrow \mathbb{N} \to \uparrow a..b^? \\ \forall x \in \uparrow \mathbb{N}. f \ x \neq \mathsf{none} \Leftrightarrow x \in \mathbb{N} \\ \forall x \in \uparrow \mathbb{N}. f \ x \neq \mathsf{none} \Rightarrow \exists y \in a..b. f \ x = \mathsf{some} \ y \end{cases}$$

$$f \colon \mathbb{N} \to a..b \quad \hookrightarrow$$

$$\left\{ \begin{array}{l} f \in \mathbb{Z} \to \mathbb{Z}^? \\ \forall x \in \mathbb{Z}. \, f \, x \neq \mathsf{none} \Leftrightarrow x \in \mathbb{N} \\ \forall x \in \mathbb{Z}. \, f \, x \neq \mathsf{none} \Rightarrow \exists \, y \in a..b. \, f \, x = \mathsf{some} \, y \end{array} \right.$$

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f: \mathbb{N} \to a..b \hookrightarrow
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(declare-const f (\rightarrow Int (Option Int)))
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- Leverage recent extensions to fragments of HOL in SMT-LIB to encode B proof obligations
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**Questions?**